



AD-A272 121



April 21, 1982
NUMBER 5100.55

USDP

Department of Defense Directive

SUBJECT: United States Security Authority for North Atlantic Treaty Organization Affairs

- References:
- (a) DoD Directive 5100.55, "United States Security Authority for NATO and CENTO Affairs," August 26, 1978 (hereby canceled)
 - (b) DoD Instruction C-5210.21, "Implementation of NATO Security Procedure (U)," December 17, 1973 (hereby canceled)
 - (c) NATO RESTRICTED Document C-M(55)15(Final), "Security Within the North Atlantic Treaty Organization (U)," July 31, 1972, as amended¹
 - (d) NATO SECRET Document C-M(64)39, "Agreement Between the Parties to the North Atlantic Treaty for Co-operation Regarding Atomic Information (U)," May 5, 1964¹

A. REISSUANCE AND PURPOSE

This Directive:

1. Reissues reference (a) to update policies and procedures for the United States Security Authority for North Atlantic Treaty Organization (NATO) Affairs (USSAN); transfers delegated authority from the Assistant Secretary of Defense (Comptroller) to the Deputy Under Secretary of Defense (Policy); deletes all references to the Central Treaty Organization (CENTO); and cancels reference (b).

2. Outlines the method to use in transmitting NATO policies and procedures within the Department of Defense, and assigns responsibilities for maintaining NATO security worldwide.

3. Authorizes the reissuance of the following enclosures:

a. Secretary of Defense instructions (enclosure 1) to heads of executive departments and agencies of the government, outlining their responsibilities and those of the Deputy Under Secretary of Defense (Policy) with regard to matters of NATO security policies and procedures.

b. Terms of Reference (attachment 1 to enclosure 1), designating the Secretary of Defense as the USSAN representing the U.S. Government.

¹Releasable on a need-to-know basis

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c. USSAN Instruction 1-69 (enclosure 2), a CONFIDENTIAL document distributed separately to all DoD Components and federal agencies requiring access to NATO classified information.

B. APPLICABILITY

The provisions of this Directive apply to the Office of the Secretary of Defense, the Military Departments, the Organization of the Joint Chiefs of Staff and the Unified and Specified Commands, and the Defense Agencies (hereafter referred to as "DoD Components").

C. AUTHORITY

The Deputy Under Secretary of Defense (Policy) is hereby delegated authority to act on all USSAN matters for which the Secretary of Defense has responsibility. This authority may be redelegated except for the promulgation of instructions and regulations covering such matters that are applicable to the executive branch of the federal government.

D. RESPONSIBILITIES

1. The Deputy Under Secretary of Defense (Policy) shall:

a. Establish policy and issue guidance, criteria, instructions, and procedures required to ensure compliance with and implementation of NATO RESTRICTED Document C-M(55)15(Final) (reference (c)) and NATO SECRET Document C-M(64)39 (reference (d)).

b. Ensure that USSAN instructions are transmitted to the DoD Components and other U.S. Government agencies concerned for compliance.

2. The Secretaries of the Military Departments and Heads of other DoD Components dealing in NATO matters shall:

a. Maintain and supervise the NATO security system within their jurisdictions.

b. Arrange for clearance and certification of all U.S. personnel who may have access to NATO classified information, whether in national or international agencies.

c. Operate, direct, and control NATO COSMIC¹ or ATOMAL² subregistries and control points.

¹ COSMIC is a NATO marking and designation which is synonymous with TOP SECRET and is applied exclusively to all copies of TOP SECRET documents prepared for circulation within NATO.

² ATOMAL information is that information designated as "RESTRICTED DATA" or "FORMERLY RESTRICTED DATA" which is provided by the U.S. Government or "UK ATOMIC Information" which is provided by the Government of the United Kingdom to other NATO components under NATO Document C-M(64)39 (reference (d)).

d. Devise emergency security plans and issue necessary instructions to prevent NATO classified information and material from being compromised.

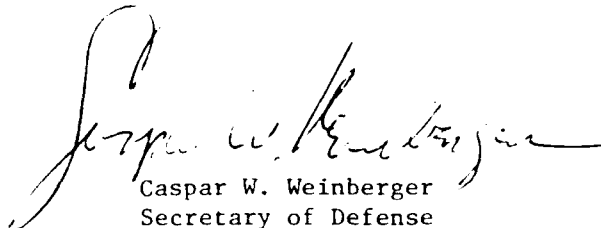
3. The Secretary of the Army shall:

a. Operate, direct, and control the Central U.S. Registry for NATO documents, including the release of NATO documents to U.S. departments and agencies, except material transmitted by the U.S. Mission to NATO for the Department of State.

b. Support administratively the Central U.S. Registry requirement to conduct annual inspections, on a worldwide basis, of the security arrangements for the protection of NATO classified information at U.S. subregistries, control points, and communications centers that support U.S. ATOMAL subregistries and control points.

E. EFFECTIVE DATE AND IMPLEMENTATION

This Directive is effective immediately. Forward two copies of implementing documents to the Deputy Under Secretary of Defense (Policy) within 120 days.


Caspar W. Weinberger
Secretary of Defense

Enclosures - 2

1. USSAN Instruction
2. USSAN Instruction 1-69 (CONFIDENTIAL - distributed separately)

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USSAN INSTRUCTION

SUBJECT: United States Security Authority for NATO Affairs

- References:
- (a) USSAN/C Joint Instruction, "United States Security Authority for NATO and CENTO Affairs," enclosure 1 to DoD Directive 5100.55, August 26, 1978 (hereby canceled)
 - (b) NATO RESTRICTED Document C-M(55)15(Final), "Security Within the North Atlantic Treaty Organization (U)," July 31, 1972, as amended¹
 - (c) NATO SECRET Document C-M(64)39, "Agreement Between the Parties to the North Atlantic Treaty for Co-operation Regarding Atomic Information (U)," May 5, 1964¹

A. REISSUANCE AND PURPOSE

This Instruction reissues reference (a) to incorporate administrative changes; deletes all references to the Central Treaty Organization (CENTO), which terminated on May 15, 1979, and U.S. CENTO subregistries and control points officially disestablished effective December 13, 1979, and includes:

1. Terms of Reference (attachment 1) designating the Secretary of Defense as the United States Security Authority for NATO Affairs (USSAN).
2. The assignment of responsibilities to heads of executive departments and agencies of the U.S. Government and to the Deputy Under Secretary of Defense (Policy) for carrying out the provisions of this Instruction.

B. APPLICABILITY

The provisions of this Instruction apply to executive departments and agencies that handle NATO material and information.

C. RESPONSIBILITIES

1. Heads of executive departments and agencies concerned with NATO security matters, pursuant to subsection D.2 of the Terms of Reference set forth in attachment 1, shall:
 - a. Maintain and supervise the NATO security system within their jurisdictions.
 - b. Implement policies established by the USSAN concerning the handling of security matters of NATO.
 - c. Arrange for clearance and the certification of all U.S. personnel who are to have access to NATO classified information and material, whether in national or international agencies.
 - d. Operate, direct, and control NATO COSMIC and ATOMAL subregistries and control points.

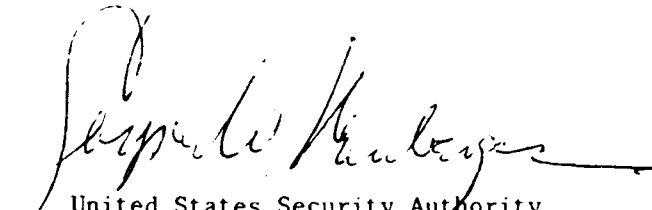
¹Releasable on a need-to-know basis

e. Devise emergency security plans and issue instructions to prevent NATO classified material and information from being compromised.

2. The Deputy Under Secretary of Defense (Policy) is hereby delegated to act in regard to all USSAN matters for which the Secretary of Defense retains responsibility. This authority may be redelegated except for the promulgation of instructions and regulations that apply to the executive branch of the federal government.

D. EFFECTIVE DATE

This Instruction is effective immediately.



United States Security Authority
for NATO Affairs

Attachment - 1
Terms of Reference

TERMS OF REFERENCE FOR THE USSAN

A. INTRODUCTION

1. The North Atlantic Council of NATO has agreed that each member nation will establish a national security authority responsible for the security of NATO classified information within its country and in its national agencies abroad.

2. Regulations setting forth the requirements for safeguarding and handling this classified information are contained in NATO RESTRICTED Document C-M(55)15(Final) (reference (b)) and NATO SECRET Document C-M(64)39 (reference (c)).

3. These Terms of Reference establish the USSAN and set forth the responsibilities for implementation of policy regarding NATO security procedures.

B. DEFINITION

The term "security" as set forth herein shall be limited in meaning and scope as used in NATO RESTRICTED Document C-M(55)15(Final) (reference (b)).

C. UNITED STATES SECURITY AUTHORITY FOR NATO AFFAIRS

The Secretary of Defense is designated as the USSAN and is responsible for NATO security within the United States and its agencies abroad.

D. RESPONSIBILITIES

1. In carrying out the responsibility as the USSAN, the Secretary of Defense (or a designee) shall:

a. Formulate, interpret, and disseminate policy governing the handling of NATO security matters.

b. Decide, for the United States, security issues relating to NATO affairs in instances in which the U.S. departments and agencies are not in agreement.

c. Ensure that all U.S. personnel who require access to NATO classified material and information, whether in national or international agencies, are certified and cleared.

d. Maintain direction and control of the Central U.S. Registry for NATO documents, including the release of NATO classified documents to U.S. departments and agencies, except material transmitted by the U.S. Mission to NATO for the Department of State.

e. Authorize the establishment of NATO COSMIC and ATOMAL subregistries.

f. Ensure that emergency security plans are coordinated with other interested national security authorities, and issue instructions to prevent NATO classified material and information from being compromised.

g. Supervise the NATO security systems in the U.S. departments and agencies involved, including COSMIC and ATOMAL subregistries and systems of communication, to control NATO classified documents worldwide.

h. Ensure that security arrangements for the protection of NATO classified information in all national agencies at all levels, civil and military, are inspected annually.

2. Heads of U.S. departments and agencies shall perform NATO security functions within their respective jurisdictions, in accordance with policies, procedures, and instructions prescribed by the USSAN.

E. RELATIONSHIP AND ADMINISTRATION

1. The USSAN shall maintain close coordination and cooperation with U.S. Government departments and agencies on NATO security matters.

2. The Secretary of Defense shall provide administrative support to carry out his responsibilities under these terms of reference.

3. Heads of departments or agencies to whom responsibilities for NATO security functions have been assigned under subsection D.2. shall provide the required administrative support.